# Re: 2020 General Information Sheet of Globe Telecom, Inc.

Ma. Lorelei M. Liwanag <mlmliwanag@sec.gov.ph>

Thu 5/21/2020 7:58 PM

**To:** AGLEGAL Corporate Services <corporateservices@aglegal.com.ph>

**INFORMATION COMMUNICATIONS AND TECHNOLOGY DEPARTMENT**

Name of Corpora on : GLOBE TELECOM, INC.

Name of Sender : Solomon M. Hermosura, Corporate Secretary

SEC Registra on No. : PW00001177

This is to acknowledge the

( / ) GENERAL INFORMATION SHEET for the annual mee ng dated April 21, 2020

( / ) OTHERS (*Pls. specify: Le er*

sent through email and received on May 21, 2020

*Note:*

*Receipt of the above-described document/s is subject to the provisions of MC No. 9 s. of 2020, MC No. 10 s. of 2020, The Revised Corpora on Code and exis ng laws, rules and regula ons implemented by concerned SEC departments and offices*

# GENERAL INFORMATION SHEET (GIS)

FOR THE YEAR 2020

**STOCK CORPORATION**

**GENERAL INSTRUCTIONS:**

1. FOR USER CORPORATION: THIS GIS SHOULD BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE DATE OF THE ANNUAL STOCKHOLDERS' MEETING. **DO NOT LEAVE ANY ITEM BLANK.** WRITE "N.A." IF THE INFORMATION REQUIRED IS NOT APPLICABLE TO THE CORPORATION OR "NONE" IF THE INFORMATION IS NON-EXISTENT. IF THE ANNUAL STOCKHOLDERS' MEETING IS HELD ON A DATE OTHER THAN THAT STATED IN THE BY-LAWS, THE GIS SHALL BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS AFTER THE ELECTION OF THE DIRECTORS, TRUSTEES AND OFFICERS OF THE CORPORATION AT THE ANNUAL MEMBERS' MEETING.
2. IF NO MEETING IS HELD, THE CORPORATION SHALL SUBMIT THE GIS NOT LATER THAN JANUARY 30 OF THE FOLLOWING YEAR. HOWEVER, SHOULD AN ANNUAL STOCKHOLDERS' MEETING BE HELD THEREAFTER, A NEW GIS SHALL BE SUBMITTED/FILED.
3. THIS GIS SHALL BE ACCOMPLISHED IN ENGLISH AND CERTIFIED AND SWORN TO BY THE **CORPORATE SECRETARY** OF THE CORPORATION.
4. THE SEC SHOULD BE TIMELY APPRISED OF RELEVANT CHANGES IN THE SUBMITTED INFORMATION AS THEY ARISE. FOR CHANGES RESULTING FROM ACTIONS THAT AROSE BETWEEN THE ANNUAL MEETINGS, THE CORPORATION SHALL SUBMIT AMENDED GIS CONTAINING THE NEW INFORMATION TOGETHER WITH A COVER LETTER SIGNED THE CORPORATE SECRETARY OF THE CORPORATION. THE AMENDED GIS AND COVER LETTER SHALL BE SUBMITTED WITHIN SEVEN (7) DAYS AFTER SUCH CHANGE OCCURED OR BECAME EFFECTIVE.
5. SUBMIT FOUR (4) COPIES OF THE GIS TO THE RECEIVING SECTION AT THE SEC MAIN OFFICE, OR TO SEC SATELLITE OFFICES OR EXTENSION OFFICES. ALL COPIES SHALL UNIFORMLY BE ON A4 OR LETTER-SIZED PAPER. THE PAGES OF ALL COPIES SHALL USE ONLY ONE SIDE
6. **ONLY THE GIS ACCOMPLISHED IN ACCORDANCE WITH THESE INSTRUCTIONS SHALL BE CONSIDERED AS HAVING BEEN FILED.**
7. THIS GIS MAY BE USED AS EVIDENCE AGAINST THE CORPORATION AND ITS RESPONSIBLE DIRECTORS/OFFICERS FOR ANY VIOLATION OF EXISTING LAWS, RULES AND REGULATIONS

========== PLEASE PRINT LEGIBLY ==========

| | |
|---|---|
| **CORPORATE NAME:** GLOBE TELECOM, INC. | **DATE REGISTERED:** Jan. 16, 1935 |
| **BUSINESS/TRADE NAME:** GLOBE TELECOM, INC. | **FISCAL YEAR END:** December 31 |
| **SEC REGISTRATION NUMBER:** 1177 | |
| **DATE OF ANNUAL MEETING PER BY-LAWS:** ANY DAY IN APRIL EACH YEAR | **CORPORATE TAX IDENTIFICATION NUMBER (TIN):** 000-768-480-000 |
| **ACTUAL DATE OF ANNUAL MEETING:** 21 April 2020 | **WEBSITE/URL ADDRESS:** http://www.globe.com.ph/ |
| **COMPLETE PRINCIPAL OFFICE ADDRESS:** The Globe Tower, 32nd Street corner 7th Avenue, Bonifacio Global City, Taguig | **E-MAIL ADDRESS:** ir@globe.com.ph |
| **COMPLETE BUSINESS ADDRESS:** The Globe Tower, 32nd Street corner 7th Avenue, Bonifacio Global City, Taguig | **FAX NUMBER:** 7739-0072 |
| **NAME OF EXTERNAL AUDITOR & ITS SIGNING PARTNER:** Navarro Amper & Co. Wilfredo A. Baltazar — **SEC ACCREDITATION NUMBER (if applicable):** 0723-AR-3 | **TELEPHONE NUMBER(S):** (+632) 7730-2000 |

| PRIMARY PURPOSE/ACTIVITY/INDUSTRY PRESENTLY ENGAGED IN: | INDUSTRY CLASSIFICATION: | GEOGRAPHICAL CODE: |
|---|---|---|
| That the purpose for which the Corporation is formed, is to carry on the business, insofar as the same may be now or hereafter permitted by law, of transmitting and receiving, for itself and for others, communications of every kind, news, music, messages, instruction, entertainment, pictures, advertising, light, and energy in any form, by means of electricity, electromagnetic waves, or any other kind of energy, force, vibrations or impulses, whether conveyed by wires, radiated through space, or transmitted through any other medium, to supply facilities for such purposes; and to charge and receive compensation therefore by tolls and charges X X X X X | TELECOMMUNICATIONS | |

========== INTERCOMPANY AFFILIATIONS ==========

| PARENT COMPANY | SEC REGISTRATION NO. | ADDRESS |
|---|---|---|
| N.A. | | |
| **SUBSIDIARY/AFFILIATE** | **SEC REGISTRATION NO.** | **ADDRESS** |
| **Please see Annex A** | | |
| | | |
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| | | |
| | | |

NOTE: USE ADDITIONAL SHEET IF NECESSARY

**GENERAL INFORMATION SHEET**

**STOCK CORPORATION**

========== **PLEASE PRINT LEGIBLY** ==========

**Corporate Name:** **GLOBE TELECOM, INC.**

**A. Is the Corporation a covered person under the Anti Money Laundering Act (AMLA), as amended? (Rep. Acts. 9160/9164/10167/10365)** ○ Yes ◉ No

**Please check the appropriate box:**

**1.**

- ☐ a. Banks
- ☐ b. Offshore Banking Units
- ☐ c. Quasi-Banks
- ☐ d. Trust Entities
- ☐ e. Non-Stock Savings and Loan Associations
- ☐ f. Pawnshops
- ☐ g. Foreign Exchage Dealers
- ☐ h. Money Changers
- ☐ i. Remittance Agents
- ☐ j. Electronic Money Issuers
- ☐ k. Financial Institutions which Under Special Laws are subject to Bangko Sentral ng Pilipinas' (BSP) supervision and/or regulation, including their subsidiaries and affiliates.

**2.**

- ☐ a. Insurance Companies
- ☐ b. Insurance Agents
- ☐ c. Insurance Brokers
- ☐ d. Professional Reinsurers
- ☐ e. Reinsurance Brokers
- ☐ f. Holding Companies
- ☐ g. Holding Company Systems
- ☐ h. Pre-need Companies
- ☐ i. Mutual Benefit Association
- ☐ j. All Other Persons and entities supervised and/or regulated by the Insurance Commission (IC)

**3.**

- ☐ a. Securities Dealers
- ☐ b. Securities Brokers
- ☐ c. Securities Salesman
- ☐ d. Investment Houses
- ☐ e. Investment Agents and Consultants
- ☐ f. Trading Advisors
- ☐ g. Other entities managing Securities or rendering similar services
- ☐ h. Mutual Funds or Open-end Investment Companies
- ☐ i. Close-end Investment Companies
- ☐ j. Common Trust Funds or Issuers and other similar entities
- ☐ k. Transfer Companies and other similar entities
- ☐ l. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on
- ☐ m. Entities administering of otherwise dealing in valuable objects
- ☐ n. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission (SEC)

**4.** ☐ Jewelry dealers in precious metals, who, as a business, trade in precious metals

**5.** ☐ Jewelry dealers in precious stones, who, as a business, trade in precious stone

**6.** Company service providers which, as a business, provide any of the following services to third parties:

- ☐ a. acting as a formation agent of juridical persons
- ☐ b. acting as (or arranging for another person to act as) a director or corporate secretary of a company, a partner of a partnership, or a similar position in relation to other juridical persons
- ☐ c. providing a registered office, business address or accommodation, correspondence or administrative address for a company, a partnership or any other legal person or arrangement
- ☐ d. acting as (or arranging for another person to act as) a nominee shareholder for another person

**7.** Persons who provide any of the following services:

- ☐ a. managing of client money, securities or other assets
- ☐ b. management of bank, savings or securities accounts
- ☐ c. organization of contributions for the creation, operation or management of companies
- ☐ d. creation, operation or management of juridical persons or arrangements, and buying and selling business entities

**8.** ☑ None of the above

| Describe nature of business: | Telecommunications |
|---|---|

**B. Has the Corporation complied with the requirements on Customer Due Diligence (CDD) or Know Your Customer (KYC), record-keeping, and submission of reports under the AMLA, as amended, since the last filing of its GIS?** ○ Yes ◉ No

# GENERAL INFORMATION SHEET

STOCK CORPORATION

========== **PLEASE PRINT LEGIBLY** ==========

**CORPORATE NAME:** **GLOBE TELECOM, INC.**

**CAPITAL STRUCTURE**

**AUTHORIZED CAPITAL STOCK**

| | TYPE OF SHARES * | NUMBER OF SHARES | PAR/STATED VALUE | AMOUNT (PhP) (No. of shares X Par/Stated Value) |
|---|---|---|---|---|
| | COMMON | 148,934,373 | 50.00 | 7,446,718,650.00 |
| | VOTING PREFERRED | 160,000,000 | 5.00 | 800,000,000.00 |
| | NON VOTING PREFERRED | 40,000,000 | 50.00 | 2,000,000,000.00 |
| | **TOTAL** | **348,934,373** | **TOTAL P** | **10,246,718,650.00** |

**SUBSCRIBED CAPITAL**

| FILIPINO | NO. OF STOCK-HOLDERS | TYPE OF SHARES * | NUMBER OF SHARES | NUMBER OF SHARES IN THE HANDS OF THE PUBLIC ** | PAR/STATED VALUE | AMOUNT (PhP) | % OF OWNERSHIP |
|---|---|---|---|---|---|---|---|
| | 3,709 | COMMON | 52,192,425 | 10,469,556 | 50.00 | 2,609,621,250.00 | 17% |
| | 5 | VOTING PREFERRED | 158,515,020 | 0 | 5.00 | 792,575,100.00 | 51% |
| | 14 | NON VOTING PREFERRED | 19,922,440 | 19,869,940 | 50.00 | 996,122,000.00 | 6% |
| | | **TOTAL** | **230,629,885** | **TOTAL** | **TOTAL P** | **4,398,318,350.00** | **74%** |

| FOREIGN (INDICATE BY NATIONALITY) | NO. OF STOCK-HOLDERS | TYPE OF SHARES * | NUMBER OF SHARES | NUMBER OF SHARES IN THE HANDS OF THE PUBLIC ** | PAR/STATED VALUE | AMOUNT (PhP) | % OF OWNERSHIP |
|---|---|---|---|---|---|---|---|
| SINGAPOREAN | 4 | COMMON | 62,646,661 | 174 | 50.00 | 3,132,333,050.00 | 20% |
| OTHERS | 35 | COMMON | 18,369,321 | 18,369,319 | 50.00 | 918,466,050.00 | 6% |
| SINGAPOREAN | 1 | VOTING PREFERRED | 1 | 0 | 5.00 | 5.00 | 0% |
| OTHERS | 1 | NON VOTING PREFERRED | 77,560 | 77,560 | 50.00 | 3,878,000.00 | 0% |
| **Percentage of Foreign Equity :** | **26%** | **TOTAL** | **81,093,543** | **TOTAL** | **TOTAL P** | **4,054,677,105.00** | **26%** |
| | | | | | **TOTAL SUBSCRIBED P** | **8,452,995,455.00** | **100%** |

**PAID-UP CAPITAL**

| FILIPINO | NO. OF STOCK-HOLDERS | TYPE OF SHARES * | NUMBER OF SHARES | PAR/STATED VALUE | AMOUNT (PhP) | % OF OWNERSHIP |
|---|---|---|---|---|---|---|
| | 3,709 | COMMON | 52,192,425 | 50.00 | 2,609,621,250.00 | 17% |
| | 5 | VOTING PREFERRED | 158,515,020 | 5.00 | 792,575,100.00 | 51% |
| | 14 | NON VOTING PREFERRED | 19,922,440 | 50.00 | 996,122,000.00 | 6% |
| | | **TOTAL** | **230,629,885** | **TOTAL P** | **4,398,318,350.00** | **74%** |

| FOREIGN (INDICATE BY NATIONALITY) | NO. OF STOCK-HOLDERS | TYPE OF SHARES * | NUMBER OF SHARES | PAR/STATED VALUE | AMOUNT (PhP) | % OF OWNERSHIP |
|---|---|---|---|---|---|---|
| SINGAPOREAN | 4 | COMMON | 62,646,661 | 50.00 | 3,132,333,050.00 | 20% |
| OTHERS | 35 | COMMON | 18,369,321 | 50.00 | 918,466,050.00 | 6% |
| SINGAPOREAN | 1 | VOTING PREFERRED | 1 | 5.00 | 5.00 | 0% |
| OTHERS | 1 | NON VOTING PREFERRED | 77,560 | 50.00 | 3,878,000.00 | 0% |
| | | **TOTAL** | **81,093,543** | **TOTAL P** | **4,054,677,105.00** | **26%** |
| | | | | **ADDITIONAL PAID-IN CAPITAL P** | **36,808,775,510.00** | |
| | | | | **TOTAL PAID-UP P** | **45,261,770,965.00** | **100%** |

NOTE: USE ADDITIONAL SHEET IF NECESSARY

* Common, Preferred or other classification

** Other than Directors, Officers, Shareholders owning 10% of outstanding shares.

# GENERAL INFORMATION SHEET

STOCK CORPORATION

========== **PLEASE PRINT LEGIBLY** ==========

**CORPORATE NAME:** **GLOBE TELECOM, INC.**

**DIRECTORS / OFFICERS**

| NAME/CURRENT RESIDENTIAL ADDRESS | NATIONALITY | INC'R | BOARD | GENDER | STOCK HOLDER | OFFICER | EXEC. COMM. | TAX IDENTIFICATION NUMBER |
|---|---|---|---|---|---|---|---|---|
| 1. JAIME AUGUSTO ZOBEL DE AYALA<br>74 CAMBRIDGE CIRCLE, NORTH FORBES PARK, MAKATI CITY | FILIPINO | N | C | M | Y | CHAIRMAN | N.A. | 104-145-637 |
| 2. LANG TAO YIH, ARTHUR<br>63 ENG KONG TERRACE, SINGAPORE 599017 | SINGAPOREAN | N | M | M | Y | CO-VICE CHAIRMAN | C/M | 701-313-364 |
| 3. DELFIN L. LAZARO<br>28 NARRA AVE., SOUTH FORBES PARK, MAKATI CITY | FILIPINO | N | M | M | Y | N/A | N.A. | 106-181-679 |
| 4. JOSE TEODORO K. LIMCAOCO<br>24 JUNO STREET, BEL-AIR, MAKATI CITY | FILIPINO | N | M | M | Y | N/A | N.A. | 135-554-880 |
| 5. ERNEST L. CU<br>32C LUNA GARDENS, ROCKWELL CENTER, MAKATI CITY | FILIPINO | N | M | M | Y | PRESIDENT & CHIEF EXECUTIVE OFFICER | N.A. | 116-222-836 |
| 6. FERNANDO ZOBEL DE AYALA<br>6 HARVARD ROAD, FORBES PARK, MAKATI CITY | FILIPINO | N | M | M | Y | CO-VICE CHAIRMAN | C/M | 104-104-067 |
| 7. ROMEO L. BERNARDO<br>8/F BELVERDERE TOWER, SAN MIGUEL AVE., ORTIGAS CTR PASIG CITY | FILIPINO | N | M | M | Y | N/A | A/M | 124-135-087 |
| 8. SAMBA NATARAJAN<br>APT BLK 61 GRANGE ROAD #22-03, SINGAPORE | AMERICAN | N | M | M | Y | N/A | N.A. | 467-914-068 |
| 9. REX MA. A. MENDOZA (Lead Independent Director)<br>10 SAN ANTONIO ST., MAGALLANES VILLAGE, MAKATI CITY | FILIPINO | N | I | M | Y | N/A | N/C<br>C/C<br>A/M | 129-544-647 |
| 10. CIRILO P. NOEL<br>817 GAMAO STREET, AYALA ALABANG VILLAGE, MUNTINLUPA CITY | FILIPINO | N | I | M | Y | N/A | N/M<br>C/M<br>A/C | 102-093-013 |
| 11. SAW PHAIK HWA<br>16 SIME ROAD SINGAPORE | SINGAPOREAN | N | I | F | Y | N/A | N/M<br>C/M<br>A/M | 439-867-210 |
| 12. ALBERTO M. DE LARRAZABAL<br>80 CELERY DRIVE, VALLE VERDE 5, PASIG CITY | FILIPINO | N | - | M | Y | CHIEF COMMERCIAL OFFICER | N.A. | 119-150-307 |
| 13. GIL B. GENIO<br>110 LIANG-LIANG COR. CAMELLA STREET, AAV, MUNTINLUPA CITY | FILIPINO | N | - | M | Y | CHIEF TECHNOLOGY AND INFORMATION OFFICER & CHIEF STRATEGY OFFICER | N.A. | 907-842-522 |
| 14. ROSEMARIE MANIEGO-EALA<br>UNIT 1102, REGENT PARKWAY CONDOMINIUM, 21ST DRIVE, FORT BONIFACIO, TAGUIG CITY | FILIPINO | N | - | F | Y | CHIEF FINANCE OFFICER, TREASURER AND CHIEF RISK OFFICER | N.A. | 107-166-700 |
| 15. RENATO M. JIAO<br>18 SALVI STREET, TIERRA PURA HOMES, QUEZON CITY | FILIPINO | N | - | M | Y | CHIEF HUMAN RESOURCE OFFICER | N.A. | 120-147-130 |

INSTRUCTION:

FOR SEX COLUMN, PUT "F" FOR FEMALE, "M" FOR MALE.
FOR BOARD COLUMN, PUT "C" FOR CHAIRMAN, "M" FOR MEMBER, "I" FOR INDEPENDENT DIRECTOR.
FOR INC'R COLUMN, PUT "Y" IF AN INCORPORATOR, "N" IF NOT.
FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT.
FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, FROM VP UP INCLUDING THE POSITION OF THE TREASURER, SECRETARY, COMPLIANCE OFFICER AND/OR ASSOCIATED PERSON.
FOR EXECUTIVE COMMITTEE, INDICATE "C" IF MEMBER OF THE COMPENSATION COMMITTEE; "A" FOR AUDIT COMMITTEE; "N" FOR NOMINATION AND ELECTION COMMITTEE. ADDITIONALLY WRITE "C" AFTER SLASH IF CHAIRMAN AND "M" IF MEMBER.

# GENERAL INFORMATION SHEET

STOCK CORPORATION

========== **PLEASE PRINT LEGIBLY** ==========

**CORPORATE NAME:** **GLOBE TELECOM, INC.**

**DIRECTORS / OFFICERS**

| NAME/CURRENT RESIDENTIAL ADDRESS | NATIONALITY | INC'R | BOARD | GENDER | STOCK HOLDER | OFFICER | EXEC. COMM. | TAX IDENTIFICATION NUMBER |
|---|---|---|---|---|---|---|---|---|
| 16. REBECCA V. ECLIPSE<br>UNIT B RENAISSANCE HILLS, NO. 53 SAN ISIDRO ST. BRGY. KAPITOLYO, PASIG CITY | FILIPINO | N | - | F | Y | CHIEF CUSTOMER EXPERIENCE OFFICER | N.A. | 106-994-935 |
| 17. VICENTE FROILAN M. CASTELO<br>NLK 1 LOT 25 PARCO BELLO SUBDIVISION, VICTORIA AVENUE, TUNASAN MUNTINLUPA | FILIPINO | N | - | M | Y | GENERAL COUNSEL | N.A. | 116-292-989 |
| 18. CARLOMAGNO E. MALANA<br>503 BAMBOO, ONE SERENDRA, BONIFACIO GLOBAL CITY, TAGUIG | FILIPINO | N | - | M | Y | CHIEF INFORMATION OFFICER | N.A. | 756-792-270-000 |
| 19. CARMINA J. HERBOSA<br>12 TOLIBAO STREET, LAS PINAS, METRO MANILA | FILIPINO | N | - | F | Y | CHIEF AUDIT EXECUTIVE | N.A. | 120-146-123 |
| 20. BERNARD P. LLAMZON<br>3744 BAUTISTA STREET, PALANAN MAKATI CITY | FILIPINO | N | - | M | Y | EVP FOR CHANNEL MANAGEMENT | N.A. | 119-152-655 |
| 21. MARISALVE CIOCSON-CO<br>111 PADRE ZAMORA STREET, AYALA HEIGHTS, QUEZON CITY | FILIPINO | N | - | F | Y | SENIOR VICE PRESIDENT - LAW AND COMPLIANCE, CHIEF COMPLIANCE OFFICER AND ASSISTANT CORPORATE SECRETARY | N.A. | 127-536-256 |
| 22. SOLOMON M. HERMOSURA<br>22 MARANAO STREET, LA VISTA QUEZON CITY | FILIPINO | N | - | M | Y | CORPORATE SECRETARY | N.A. | 107-478-241 |
| NOTHING FOLLOWS | | | | | | | | |
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INSTRUCTION:

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FOR BOARD COLUMN, PUT "C" FOR CHAIRMAN, "M" FOR MEMBER, "I" FOR INDEPENDENT DIRECTOR.
FOR INC'R COLUMN, PUT "Y" IF AN INCORPORATOR, "N" IF NOT.
FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT.
FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, FROM VP UP INCLUDING THE POSITION OF THE TREASURER, SECRETARY, COMPLIANCE OFFICER AND/OR ASSOCIATED PERSON.
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# GENERAL INFORMATION SHEET

STOCK CORPORATION

========== **PLEASE PRINT LEGIBLY** ==========

| | | | |
|---|---|---|---|
| **CORPORATE NAME:** | GLOBE TELECOM, INC. | | |
| **TOTAL NUMBER OF STOCKHOLDERS:** | **3,769** | **NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:** | **873** |
| **TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS:** | **304,290,735,568.00** | | |

**STOCKHOLDER'S INFORMATION**

| NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS | SHARES SUBSCRIBED | | | | AMOUNT PAID (PhP) | TAX IDENTIFICATION NUMBER |
|---|---|---|---|---|---|---|
| | TYPE | NUMBER | AMOUNT (PhP) | % OF OWNER-SHIP | | |
| 1 **ASIACOM PHILIPPINES, INC.** ***(inclusive of the five nominee shares)*** FILIPINO 32/F AYALA TOWER ONE AYALA TRIANGLE AYALA AVE., MAKATI CITY | VOTING PREFERRED | 158,515,021 | 792,575,105.00 | 50.85% | 792,575,105.00 | 000-361-510-000 |
| | **TOTAL** | **158,515,021** | **792,575,105.00** | | | |
| 2. **SINGAPORE TELECOM INT'L PTE. LTD.** SINGAPOREAN 31 EXETER ROAD, COMCENTRE, SINGAPORE 0923 | COMMON | 62,646,487 | 3,132,324,350.00 | 20.10% | 3,132,324,350.00 | 005-025-811-000 |
| | **TOTAL** | **62,646,487** | **3,132,324,350.00** | | | |
| 3. **AYALA CORPORATION** FILIPINO 32/F TO 35/F AYALA TOWER ONE AYALA TRIANGLE AYALA AVE., MAKATI CITY | COMMON | 41,157,276 | 2,057,863,800.00 | 13.20% | 2,057,863,800.00 | 000-153-610-000 |
| | **TOTAL** | **41,157,276** | **2,057,863,800.00** | | | |
| 4. **PCD NOMINEE CORPORATION** FILIPINO G/F MSE BLDG. 6767 AYALA AVE., MAKATI CITY | COMMON | 10,147,362 | 507,368,100.00 | 9.07% | 1,414,290,600.00 | 004-774-849 |
| | NON VOTING PREFERRED | 18,138,450 | 906,922,500.00 | | | |
| | **TOTAL** | **28,285,812** | **1,414,290,600.00** | | | |
| 5. **PCD NOMINEE CORPORATION** NON-FILIPINO G/F MSE BLDG. 6767 AYALA AVE., MAKATI CITY | COMMON | 18,355,617 | 917,780,850.00 | 5.91% | 921,658,850.00 | 004-774-849 |
| | NON VOTING PREFERRED | 77,560 | 3,878,000.00 | | | |
| | **TOTAL** | **18,433,177** | **921,658,850.00** | | | |
| 6. **SOCIAL SECURITY SYSTEM** FILIPINO EAST AVE, DILIMAN, QUEZON CIYT, METRO MANILA | NON VOTING PREFERRED | 1,600,000 | 80,000,000.00 | 0.51% | 80,000,000.00 | 000-728-944-000 |
| | **TOTAL** | **1,600,000** | **80,000,000.00** | | | |
| 7. **KNIGHTS OF COLUMBUS FRATERNAL ASSOCIATION OF THE PHILS. INC.** FILIPINO GEN. LUNA COR STA. POTENCIANA STS. INTRAMUROS, MANILA | NON VOTING PREFERRED | 88,140 | 4,407,000.00 | 0.03% | 4,407,000.00 | 001-007-909 |
| | **TOTAL** | **88,140** | **4,407,000.00** | | | |
| **TOTAL AMOUNT OF SUBSCRIBED CAPITAL** | | | | **99.68%** | **8,403,119,705.00** | |
| **TOTAL AMOUNT OF PAID-UP CAPITAL** | | | | | | |

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

***Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.***

# GENERAL INFORMATION SHEET

STOCK CORPORATION

========== **PLEASE PRINT LEGIBLY** ==========

| | | | |
|---|---|---|---|
| **CORPORATE NAME:** | GLOBE TELECOM, INC. | | |
| **TOTAL NUMBER OF STOCKHOLDERS:** | **3,769** | **NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:** | 873 |
| **TOTAL ASSETS BASED ON LATEST AUDITED FS:** | **304,290,735,568.00** | | |

**STOCKHOLDER'S INFORMATION**

| NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS | SHARES SUBSCRIBED | | | | AMOUNT PAID (PhP) | TAX IDENTIFCATION NUMBER |
|---|---|---|---|---|---|---|
| | TYPE | NUMBER | AMOUNT (PhP) | % OF OWNER-SHIP | | |
| 8. **RCBC SECURITIES INC.** | NON VOTING PREFERRED | 60,000 | 3,000,000.00 | | | |
| FILIPINO | | | | | | |
| 21 FLOOR YUCHENGCO TOWER II RCBC PLAZA AYALA AVENUE, MAKATI CITY | | | | 0.02% | 3,000,000.00 | 000-165-644 |
| | **TOTAL** | **60,000** | **3,000,000.00** | | | |
| 9. **ERNEST L. CU** | COMMON | 50,089 | 2,504,450.00 | | | |
| FILIPINO | | | | | | |
| 32C LUNA GARDENS, ROCKWELL CENTER, MAKATI CITY | | | | 0.02% | 2,504,450.00 | 116-222-836 |
| | **TOTAL** | **50,089** | **2,504,450.00** | | | |
| 10. **GUILLERMO D. LUCHANGCO** | COMMON | 32,000 | 1,600,000.00 | | | |
| FILIPINO | | | | | | |
| 1148 TAMARIND ROAD, DASMARINAS VILLAGE, MAKATI CITY | | | | 0.01% | 1,600,000.00 | 106-185-062 |
| | **TOTAL** | **32,000** | **1,600,000.00** | | | |
| 11. **THE FIRST NATIONAL INVESTMENT CO. INC.** | COMMON | 21,001 | 1,050,050.00 | | | |
| FILIPINO | | | | | | |
| C/O LA SUERTE CIGAR & CIGARETTE FACTORY, KM 14 SS HIGHWAY, PARANAQUE | | | | 0.01% | 1,050,050.00 | 119-142-758 |
| | **TOTAL** | **21,001** | **1,050,050.00** | | | |
| 12. **FIRST LIFE FINANCIAL CO. INC.** | NON VOTING PREFERRED | 20,000 | 1,000,000.00 | | | |
| FILIPINO | | | | | | |
| 174 SALCEDO ST., LEGASPI VILLAGE MAKATI CITY | | | | 0.01% | 1,000,000.00 | 000-456-600-000 |
| | **TOTAL** | **20,000** | **1,000,000.00** | | | |
| 13. **ANNA IRMINA B. NAVARATTE** | COMMON | 15,473 | 773,650.00 | | | |
| FILIPINO | | | | | | |
| THE GLOBE TOWER, 32ND STREET CORNER 7TH AVENUE, BGC, TAGUIG CITY | | | | 0.00% | 773,650.00 | 101-776-237 |
| | **TOTAL** | **15,473** | **773,650.00** | | | |
| 14. **RENATO MANUEL M. JIAO** | COMMON | 14,145 | 707,250.00 | | | |
| FILIPINO | | | | | | |
| 18 SALVI STREET, TIERRA PURA HOMES, QUEZON CITY | | | | 0.00% | 707,250.00 | 120-147-130 |
| | **TOTAL** | **14,145** | **707,250.00** | | | |
| **TOTAL AMOUNT OF SUBSCRIBED CAPITAL** | | | | **0.07%** | **10,635,400.00** | |
| **TOTAL AMOUNT OF PAID-UP CAPITAL** | | | | | | |

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

**Note:** ***For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.***

GENERAL INFORMATION SHEET
STOCK CORPORATION

========== **PLEASE PRINT LEGIBLY** ==========

| | | | |
|---|---|---|---|
| **CORPORATE NAME:** | GLOBE TELECOM, INC. | | |
| **TOTAL NUMBER OF STOCKHOLDERS:** | **3,769** | **NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:** | 873 |
| **TOTAL ASSETS BASED ON LATEST AUDITED FS:** | **304,290,735,568.00** | | |

**STOCKHOLDER'S INFORMATION**

| NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS | SHARES SUBSCRIBED | | | | AMOUNT PAID (PhP) | TAX IDENTIFICATION NUMBER |
|---|---|---|---|---|---|---|
| | TYPE | NUMBER | AMOUNT (PhP) | % OF OWNER-SHIP | | |
| 15. **CEDAR COMMODITIES, INC.**<br>FILIPINO<br>6F CEDAR EXEC. BLDG. II, COR. SCOUT TOBIAS ST. & TIMOG AVE., QUEZON CITY | COMMON | 12,900 | 645,000.00 | 0.00% | 645,000.00 | 000-464-124-000 |
| | **TOTAL** | **12,900** | **645,000.00** | | | |
| 16. **ALBERTO M. DE LARRAZABAL**<br>FILIPINO<br>80 CELERY DRIVE, VALLE VERDE 5, PASIG CITY | COMMON | 10,485 | 524,250.00 | 0.00% | 524,250.00 | 119-150-307 |
| | **TOTAL** | **10,485** | **524,250.00** | | | |
| 17. **GIL B. GENIO**<br>FILIPINO<br>110 LIANG-LIANG COR. CAMELLA STREET, AAV, MUNTINLUPA CITY | COMMON | 10,082 | 504,100.00 | 0.00% | 504,100.00 | 907-842-522 |
| | **TOTAL** | **10,082** | **504,100.00** | | | |
| 18. **BERNADETTE SAY GO**<br>FILIPINO<br>122 10TH STREET, NEW MANILA, QUEZON CITY | COMMON | 10,000 | 500,000.00 | 0.00% | 500,000.00 | 170-708-885 |
| | **TOTAL** | **10,000** | **500,000.00** | | | |
| 19. **ROSEMARIE MANEIGO-EALA OR MICHAEL FRANCIS MENDOZA**<br>FILIPINO<br>UNIT 1102, REGENT PARKWAY CONDOMINIUM, 21ST DRIVE, FORT BONIFACIO, TAGUIG CITY | COMMON | 9,998 | 499,900.00 | 0.00% | 499,900.00 | 107-166-700 |
| | **TOTAL** | **9,998** | **499,900.00** | | | |
| 20. **JOSEPH CALIRO**<br>FILIPINO<br>THE GLOBE TOWER, 32ND STREET CORNER 7TH AVENUE, BGC, TAGUIG CITY | COMMON | 9,785 | 489,250.00 | 0.00% | 489,250.00 | 257-735-968 |
| | **TOTAL** | **9,785** | **489,250.00** | | | |
| 21. **OTHERS (Indicate the number of the remaining stockholders)**<br>(3,733 COMMON STOCKHOLDERS & 9 NON-VOTING PREFERRED STOCKHOLDERS) | COMMON | **705,707** | 35,285,350.00 | 0.23% | 36,077,850.00 | |
| | NON VOTING | **15,850** | 792,500.00 | | | |
| | **TOTAL** | **721,557** | **36,077,850** | | | |
| **TOTAL AMOUNT OF SUBSCRIBED CAPITAL** | | | | 0.25% | 8,452,995,455.00 | |
| | | | | **ADDITIONAL PAID-IN CAPITAL** | **36,808,775,510.00** | |
| | | | | **TOTAL AMOUNT OF PAID-UP CAPITAL** | **45,261,770,965.00** | |

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

**Note:** ***For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.***

# GENERAL INFORMATION SHEET

STOCK CORPORATION

PLEASE PRINT LEGIBLY

**CORPORATE NAME:** **GLOBE TELECOM, INC.**

| 1. INVESTMENT OF CORPORATE FUNDS IN ANOTHER CORPORATION | AMOUNT (PhP) | DATE OF BOARD RESOLUTION |
|---|---|---|
| 1.1 STOCKS | 36,611,645,774.12 | VARIOUS |
| 1.2 BONDS/COMMERCIAL PAPER (Issued by Private Corporations) | N.A | N.A |
| 1.3 LOANS/ CREDITS/ ADVANCES | 656,620,000.00 | VARIOUS |
| 1.4 GOVERNMENT TREASURY BILLS | N.A | N.A |
| 1.5 OTHERS (Short Term Money Market Placements) | 4,569,798,472.20 | VARIOUS |

| 2. INVESTMENT OF CORPORATE FUNDS IN ACTIVITIES UNDER ITS SECONDARY PURPOSES (PLEASE SPECIFY:) | DATE OF BOARD RESOLUTION | DATE OF STOCKHOLDERS RATIFICATION |
|---|---|---|
| N/A | | |

| 3. TREASURY SHARES | NO. OF SHARES | % AS TO THE TOTAL NO. OF SHARES ISSUED |
|---|---|---|
| N/A | N/A | |

| 4. UNRESTRICTED/UNAPPROPRIATED RETAINED EARNINGS AS OF END OF LAST FISCAL YEAR | P | 37,169,605,178.31 |
|---|---|---|

5. DIVIDENDS DECLARED DURING THE IMMEDIATELY PRECEDING YEAR:

| TYPE OF DIVIDEND | AMOUNT (PhP) | DATE DECLARED |
|---|---|---|
| 5.1 CASH | Please see Annex B | Please see Annex B |
| 5.2 STOCK | N/A | N/A |
| 5.3 PROPERTY | N/A | N/A |
| TOTAL | P | |

6. ADDITIONAL SHARES ISSUED DURING THE PERIOD:

| DATE | NO. OF SHARES | AMOUNT |
|---|---|---|
| 3/31/2019 | 900 Common shares | 45,000 |
| 4/30/2019 | 800 Common shares | 40,000 |
| 5/31/2019 | 145,717 Common shares | 7,285,850 |
| 9/30/2019 | 7,742 Common shares | 387,100 |

SECONDARY LICENSE/REGISTRATION WITH SEC AND OTHER GOV'T AGENCY:

| NAME OF AGENCY: | SEC | B S P | I C |
|---|---|---|---|
| TYPE OF LICENSE/REGN. | CERTIFICATE OF PERMIT TO OFFER SECURITIES FOR SALE | N.A. | N.A. |
| DATE ISSUED: | JANUARY 6, 1995 | | |
| DATE STARTED OPERATIONS: | JANUARY 6, 1995 | | |

| TOTAL ANNUAL COMPENSATION OF DIRECTORS DURING THE PRECEDING FISCAL YEAR (in PhP) | TOTAL NO. OF OFFICERS | TOTAL NO. OF RANK & FILE EMPLOYEES | TOTAL MANPOWER COMPLEMENT |
|---|---|---|---|
| 22,900,000 | 2,360 | 5,688 | 3,037 |

NOTE: USE ADDITIONAL SHEET IF NECESSARY

I, SOLOMON M. HERMOSURA, Corporate Secretary of GLOBE TELECOM, INC., declare under penalty of perjury that all matters set forth in this GIS have been made in good faith, duly verified by me and to the best of my knowledge and belief are true and correct.

I hereby attest that all the information in this GIS are being submitted in compliance with the rules and regulations of the Securities and Exchange Commission (SEC) the collection, processing, storage and sharing of said information being necessary to carry out the functions of public authority for the performance of the constitutionally and statutorily mandated functions of the SEC as a regulatory agency.

I further attest that I have been authorized by the Board of Directors to file this GIS with the SEC.

I understand that the Commission may place the corporation under delinquent status for failure to submit the reportorial requirements three (3) times, consecutively or intermittently, within a period of five (5) years (*Section 177, RA No. 11232* ).

Done this _____ day of JUN 24 2020 20____ in Makati City.

[redacted]

SOLOMON M. HERMOSURA
CORPORATE SECRETARY

**SUBSCRIBED AND SWORN TO** before me in Makati City on JUN 24 2020 by affiant who personall appeared before me and exhibited to me his competent evidence of identity consisting of [redacted] issued at [redacted] on [redacted]

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Book No. XVII;
Series of 2020.

MARIA PAULA G. ROMERO-BAUTISTA
NOTARY PUBLIC
ROLL NO. 58335
MAKATI CITY, PHILS.

NOTARY PUBLIC

MARIA PAULA G. ROMERO-BAUTISTA
Notary Public – Makati City
Appt. No. M-150 until December 31, 2021
Roll of Attorneys No. 58335
IBP No. 099040 - 12/16/2019 – Makati City
PTR No. 8116905MG – 01/02/2020 - Makati City
MCLE Compliance No. VI-0009490 – 06/20/2018
27th Floor Tower One and Exchange Plaza
Ayala Triangle, Ayala Avenue
Makati City, Philippines

**Annex "A"**

| **Wholly or majority owned subsidiaries as of December 31, 2019:** | **Country of Incorporation** |
|---|---|
| Innove Communications, Inc. | Philippines |
| Bayan Telecommunications, Inc. | Philippines |
| GTI Business Holdings, Inc. | Philippines |
| Kickstart Ventures, Inc. | Philippines |
| Globe Capital Venture Holdings Inc. | Philippines |
| Asticom Technology, Inc. | Philippines |
| TaoDharma Inc. | Philippines |
| GTowers, Inc. | Philippines |
| Yondu, Inc. | Philippines |
| Electronic Commerce Payments, Inc. (EC Pay) | Philippines |
| **Joint ventures as of December 31, 2019:** | |
| Bridge Mobile PTE Ltd (BMPL) | Singapore |
| TechGlobal Data Center, Inc. | Philippines |
| Vega Telecom Inc. | Philippines |
| Bow Arken Holdings Company Inc. | Philippines |
| Brightshare Holdings Corporation | Philippines |
| Globe Fintech Innovations, Inc. | Philippines |
| Global Telehealth, Inc. | Philippines |

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**ANNEX B**

Dividends declrared for the year ended December 31, 2019

| | Per Share | Amount (in pesos) | Date | |
|---|---|---|---|---|
| | | | Record | Payment |
| Dividends on Voting Preferred stock: | | | | |
| December 10, 2019 | 0.32 | 50,027,341 | December 26, 2019 | December 27, 2019 |
| Dividends on Non-voting Preferred stock: | | | | |
| May 3, 2019 | 13.00 | 260,030,000 | July 26, 2019 | August 22, 2019 |
| December 10, 2019 | 13.00 | 260,030,000 | January 29, 2020 | February 24, 2020 |
| Dividends on Common stock: | | | | |
| February 11, 2019 | 22.75 | 3,026,961,392 | February 26, 2019 | March 13, 2019 |
| May 3, 2019 | 22.75 | 3,030,303,071 | May 20, 2019 | May 31, 2019 |
| August 2, 2019 | 22.75 | 3,030,315,129 | August 19. 2019 | August 30, 2019 |
| November 6, 2019 | 22.75 | 3,030,491,259 | November 20, 2019 | December 6, 2019 |
| **Total Dividends** | | **12,688,158,192** | | |

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